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# 时滞神经网络随机抽样控制的状态估计。

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(我刊编委宋乾坤来稿)

摘要: 研究了时滞神经网络随机抽样控制的状态估计问题。首先,给出了随机抽样区间和抽样输入时滞的统一概率结构。基于此结构,构造了一个包含新的锯齿结构项的 Lyapunov 泛函。然后,运用不等式放缩技术,得到了误差系统随机稳定的保守性更低的标准,并设计出了合适的状态估计器。最后,数值仿真算例验证了所得结果的优势和有效性。

关 键 词: 时滞神经网络; 随机抽样; 状态估计; Lyapunov 泛函

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#### 引言

在过去几十年里,神经网络已经被广泛应用于图像处理、模式识别、组合优化和保密通信等领域<sup>[1-4]</sup>。在神经网络的应用过程中,时滞是不可避免的,且是网络系统产生震荡、不稳定的一个重要原因。因此,时滞神经网络的研究受到了广泛关注<sup>[5-6]</sup>。此外,在网络输出过程中,神经元状态信息是很难能全部得到的,故在应用中需对神经元状态信息进行估计。近年来,很多学者研究了时滞神经网络的状态估计问题,得到了许多有趣的结果<sup>[7-12]</sup>。

随着人工智能的飞速发展,自动控制被广泛应用到工程实践中。目前,大量控制方法被用来解决时滞神经网络的状态估计问题,比如,时滞反馈控制法<sup>[13]</sup>、自适应控制法<sup>[14]</sup>和比例导数控制法<sup>[15]</sup>。上述控制方法都要求在连续时间上进行信息反馈。然而,在实际操作过程中,控制策略是需要数字反馈的<sup>[16]</sup>。于是,随着现代计算机和网络通信技术的发展,数字控制法应运而生,并得到了飞速发展。数字控制法有速度快、控制量小、精度高、花费少的优点<sup>[17-19]</sup>。作为数字控制方法的一种,抽样控制法亦得到了极大的发展,并被广泛用于研究时滞神经网络的

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状态估计问题[17-18,20]。

在文献[21]中,研究了确定抽样区间的时滞神经网络的状态估计问题,然而在实际问题中,因受到环境和人为随机不确定因素的影响,抽样区间往往是随机不确定的,在此情况下,确定抽样区间的抽样控制方法就失效了,需要考虑随机抽样控制方法,在文献[5]中,随机抽样控制法被用于研究时滞神经网络的状态估计问题,但受限于抽样区间和抽样输入时滞不统一的概率结构,一些能够捕获更多抽样信息的锯齿结构项没有被引入到 Lyapunov 泛函中,这使得文献[5]中的结果存在一定程度的保守性.

基于上述分析,本文研究了时滞神经网络的随机抽样控制的状态估计问题,提出了一种统一的随机抽样的概率框架,改进了文献[5]的结果。

## 1 预备知识

符号定义  $R^n$  表示 n 维欧氏空间; $R^{n \times n}$  表示所有的  $n \times n$  实矩阵的集合。 $I_n$  表示  $n \times n$  的单位矩阵。 $O_n$  表示  $n \times n$  的零矩阵; $O_{n,m}$  表示  $n \times m$  的零矩阵。上标 T 表示一个矩阵的转置。对实矩阵 X 和 Y, X > Y 表示矩阵 X — Y 是正定的。O(x) 是正定的。O(x) 表示一个对角矩阵。O(x) 医水 表示一个矩阵的对称项。O(x) 是正定的。O(x) 表示数学期望。如果没有进行特别说明,所有的矩阵在进行代数运算时都是适维的。考虑下列经典时滞神经网络系统:

$$\begin{cases} \dot{\boldsymbol{x}}(t) = -\boldsymbol{A}\boldsymbol{x}(t) + \boldsymbol{B}\boldsymbol{f}(\boldsymbol{x}(t)) + \boldsymbol{C}\boldsymbol{f}(\boldsymbol{x}(t-\tau(t))) + \boldsymbol{J}(t), \\ \boldsymbol{p}(t) = \boldsymbol{D}\boldsymbol{x}(t), \end{cases}$$
(1)

其中, $\mathbf{x}(t) = [x_1(t), x_2(t), \dots, x_n(t)]^{\mathrm{T}} \in R^n$  是状态变量; $\mathbf{p}(t) \in R^m$  是输出子系统; $\mathbf{A}, \mathbf{B}, \mathbf{C} \in R^{n \times n}$  和  $\mathbf{D} \in R^{m \times n}$  是已知矩阵; $\mathbf{\tau}(t)$  是时变时滞,且满足 $\mathbf{\tau}(t) \leq d$  和  $\dot{\mathbf{\tau}}(t) \leq \mu$ ,其中 d 和  $\mu$  是常数; $\mathbf{J}(t) \in R^n$  是外部输入变量; $\mathbf{f}(t) = [f_1(x_1(t)), f_2(x_2(t)), \dots, f_n(x_n(t))]^{\mathrm{T}} \in R^n$  是 激励函数,且满足

$$k_i^- \leq \frac{f_i(\alpha) - f_i(\beta)}{\alpha - \beta} \leq k_i^+, \qquad \forall \alpha \neq \beta, \ i = 1, 2, \dots, n,$$
 (2)

其中,  $k_i^-$  和  $k_i^+$  是常数。

为了观察系统(1)的神经元状态,考虑下列估计系统:

$$\begin{cases} \dot{\mathbf{y}}(t) = -A\mathbf{y}(t) + B\mathbf{f}(\mathbf{y}(t)) + C\mathbf{f}(\mathbf{y}(t-\tau(t))) + \mathbf{J}(t) + \mathbf{u}(t), \\ \mathbf{r}(t) = D\mathbf{y}(t), \end{cases}$$
(3)

其中, y(t) 是 x(t) 的估计量, r(t) 是外部输出估计量, u(t) 是控制输入.

定义误差变量 e(t) = x(t) - y(t), 由系统(1)和(3)可得下列误差系统:

$$\dot{\boldsymbol{e}}(t) = -\boldsymbol{A}\boldsymbol{e}(t) + \boldsymbol{B}\boldsymbol{g}(\boldsymbol{e}(t)) + \boldsymbol{C}\boldsymbol{g}(\boldsymbol{e}(t-\tau(t))) - \boldsymbol{u}(t), \qquad (4)$$

其中,g(e(t)) = f(x(t)) - f(y(t))。由式(2)易知,

$$\mathbf{g}(\mathbf{e}(t)) = [g_1(e_1(t)), g_2(e_2(t)), \cdots, g_n(e_n(t))]^{\mathrm{T}}$$

满足

$$k_i^- \le \frac{g_i(e_i(t))}{e_i(t)} \le k_i^+, \qquad e_i(t) \ne 0, \ i = 1, 2, \dots, n.$$
 (5)

本文考虑下列随机抽样控制器:

$$u(t) = K(p(t_k) - r(t_k)) = KDe(t_k), t_k \le t < t_{k+1}, k = 0, 1, 2, \dots,$$
(6)

其中, K 是控制增益矩阵。

随机抽样区间  $h = t_{k+1} - t_k$ 。假设 h 有 l 个取值, 分别为  $h_1$ ,  $h_2$ , …,  $h_l$ , 其概率分别为

$$P\{h = h_i\} = \beta_i, \qquad i = 1, 2, \dots, l,$$
 (7)

其中,  $\beta_i \in [0,1]$  是已知常数, 且满足 $\sum_{i=1}^{l} \beta_i = 1$ .

$$P\{q(t) = q_i(t)\} = P\{0 \le q(t) \le h_i\} = P\{h = h_i\} = \beta_i, \quad i = 1, 2, \dots, l,$$

其中

$$q_i(t) = t - t_k, \qquad t \in [t_k, t_k + h_i).$$

下面引入随机变量 $\beta_i(t)$  使得

$$\beta_i(t) = \begin{cases} 1, & h = h_i, \\ 0, & \text{others}, \end{cases} i = 1, 2, \dots, l.$$

其概率分别为

$$P\{\beta_i(t) = 1\} = P\{h = h_i\} = \beta_i, \quad i = 1, 2, \dots, l.$$

由于 $\beta_i(t)$  服从 Bernoulli 分布, 故有

$$E\{\beta_{i}(t)\} = \beta_{i}, E\{(\beta_{i}(t) - \beta_{i})^{2}\} = \beta_{i}(1 - \beta_{i}), \quad i = 1, 2, \dots, l.$$

综上可知, 误差系统(4)可重写为下列形式:

$$\dot{\boldsymbol{e}}(t) = -\boldsymbol{A}\boldsymbol{e}(t) + \boldsymbol{B}\boldsymbol{g}(\boldsymbol{e}(t)) + \boldsymbol{C}\boldsymbol{g}(\boldsymbol{e}(t-\tau(t))) - \sum_{i=1}^{l} \beta_{i}(t) \boldsymbol{K}\boldsymbol{e}(t-q_{i}(t)), \qquad t_{k} \leq t < t_{k+1}.$$
(8)

**注 1** 近年来,随机抽样方法引起了研究者们的极大关注(见文献[5,22-27])。但在上述文献的方法中,需要将随机抽样区间的取值进行排序,即  $0 = h_0 < h_1 < \cdots < h_l$ ,然后计算概率

$$P\left\{\,h_{i-1} \leqslant q(\,t\,)\,\,<\,h_{i}\,\right\} \;\; = \;\; \sum_{j=i}^{l} P\left\{\,h_{j-1} \leqslant q(\,t\,)\,\,<\,h_{j} \;|\;\; h_{j} = \,h_{j}\,\right\} P\left\{\,h_{j} = \,h_{i}\,\right\} \;\; = \;\; \sum_{j=i}^{l} \,\beta_{j} \,\frac{h_{i} - h_{i-1}}{h_{j}},$$

继而定义随机变量

$$\alpha_i(t) \; = \; \begin{cases} 1 \,, \qquad h_{i-1} \leqslant q(t) \, < \, h_i \,, \\ 0 \,, \qquad \text{others.} \end{cases} \label{eq:alpha_i}$$

由此将控制器  $\mathbf{u}(t) = \mathbf{KDe}(t_k)$  改写为  $\mathbf{u}(t) = \sum_{i=1}^{l} \alpha_i(t) \mathbf{KDe}(t - \tau_i(t))$ ,其中  $\tau_i(t) \in [h_{i-1}, h_i)$ .注意到在该方法中, $h = \sum_{i=1}^{l} \beta_i(t) h_i$ , $q(t) = \sum_{i=1}^{l} \alpha_i(t) q_i(t)$ ,h 和 q(t) 是通过引入不同的随机变量  $\beta_i(t)$  和  $\alpha_i(t)$  来表示的。这导致了随机抽样区间 h 和抽样输入时滞 q(t) 具有不统一的概率框架,使得一些能够充分利用抽样信息的锯齿结构项很难被引入到 Lyapunov 泛函中。例如:如果引入锯齿结构项

$$(h - q(t)) \int_{t-q(t)}^{t} \dot{\boldsymbol{e}}^{\mathrm{T}}(s) U \dot{\boldsymbol{e}}(s) \, \mathrm{d}s = \sum_{i=1}^{l} (\beta_{i}(t) h_{i} - \alpha_{i}(t) \tau_{i}(t)) \int_{t-q(t)\tau_{i}(t)}^{t} \dot{\boldsymbol{e}}^{\mathrm{T}}(s) U \dot{\boldsymbol{e}}(s) \, \mathrm{d}s,$$

则该项很难求导处理,因为 $\beta_i(t)$  和  $\alpha_i(t)$  属于不同的概率分布。而众所周知,锯齿结构项的引入能有效减少抽样控制估计标准的保守性。基于此,本文将随机抽样区间 h 和抽样输入时滞 q(t) 用同一个随机变量  $\beta_i(t)$  表达,使得锯齿结构项能较容易引入到 Lyapunov 泛函中。

为得到本文的主要结果,下面给出如下定义和引理。

定义 1 [28] 误差系统(8)是随机稳定的,如果满足

$$\lim_{t\to\infty} E\left\{\int_0^t \|\boldsymbol{e}(s)\|^2 \mathrm{d}s\right\} < \infty.$$

引理  $\mathbf{1}^{[29]}$  设  $Y > \mathbf{0}$ , w(s) 是适维变量,则有

$$-\int_{t_1}^{t_2} \boldsymbol{w}^{\mathrm{T}}(s) \boldsymbol{Y} \boldsymbol{w}(s) \, \mathrm{d}s \leq (t_2 - t_1) \boldsymbol{\xi}^{\mathrm{T}}(t) \boldsymbol{M}^{\mathrm{T}} \boldsymbol{Y}^{-1} \boldsymbol{M} \boldsymbol{\xi}(t) + 2 \boldsymbol{\xi}^{\mathrm{T}}(t) \boldsymbol{M}^{\mathrm{T}} \int_{t_1}^{t_2} \boldsymbol{w}(s) \, \mathrm{d}s,$$

其中,矩阵M和变量 $\xi(t)$ 是与积分变量无关的任意适维量。

## 2 主要结果

在给出主要结果前, 先引入下列符号定义:

 $\Pi(1;q_1(t),q_2(t),\cdots,q_l(t)) < \mathbf{0}.$ 

$$\mathcal{I}_{i} = \begin{bmatrix} \mathbf{0}_{n,(i-1)n} & \mathbf{I}_{n} & \mathbf{0}_{n,(6-i)n} \end{bmatrix} \qquad (i = 1,2,\cdots,l+4), 
\mathbf{K}^{-} = \operatorname{diag} \left\{ k_{1}^{-}, k_{2}^{-}, \cdots, k_{n}^{-} \right\}, \quad \mathbf{K}^{+} = \operatorname{diag} \left\{ k_{1}^{+}, k_{2}^{+}, \cdots, k_{n}^{+} \right\}, 
\boldsymbol{\eta}(t) = \begin{bmatrix} \mathbf{e}^{T}(t), \dot{\mathbf{e}}^{T}(t), \mathbf{g}^{T}(\mathbf{e}(t)), \mathbf{e}^{T}(t-q_{1}(t)), \mathbf{e}^{T}(t-q_{2}(t)), \cdots, \mathbf{e}^{T}(t-q_{1}(t)), \mathbf{g}^{T}(\mathbf{e}(t-\tau(t))) \end{bmatrix}^{T}.$$

定理 1 在条件(5)下, 对给定的常数 $\mu$ ,  $\beta_i$ ,  $h_i$ ( $i=1,2,\cdots,l$ ) 和v, 误差系统(8) 是随机

稳定的,如果对正定矩阵 P, Q,  $U = \begin{bmatrix} U_{11} & U_{12} \\ * & U_{22} \end{bmatrix}$ , 对称矩阵  $H = \begin{bmatrix} H_{11} & H_{12} \\ * & H_{22} \end{bmatrix}$ , 正定对角阵  $\boldsymbol{\Theta}$ ,

 $\Delta = \operatorname{diag} \{ \delta_1, \delta_2, \cdots, \delta_n \}$ ,  $\Lambda = \operatorname{diag} \{ \lambda_1, \lambda_2, \cdots, \lambda_n \}$ , 和任意适维矩阵  $Y, F, \tilde{F}$ , 使得下列不等式成立:

(9)

其中, 
$$q_{i}(t) \in \{0, h_{i}\}$$
, 且
$$H(\sigma; q_{1}(t), q_{2}(t), \dots, q_{i}(t)) =$$

$$\operatorname{Sym} \{ \mathcal{I}_{i}^{T} P \mathcal{I}_{2} \} + \mathcal{I}_{3}^{Q} \mathcal{I}_{3} - (1 - \mu) \mathcal{I}_{i+4}^{T} Q \mathcal{I}_{i+4} +$$

$$\operatorname{Sym} \{ (\mathcal{I}_{3} - K^{-} \mathcal{I}_{1})^{T} \Delta \mathcal{I}_{2} \} + \operatorname{Sym} \{ (K^{+} \mathcal{I}_{1} - \mathcal{I}_{3})^{T} \Delta \mathcal{I}_{2} \} +$$

$$\sum_{i=1}^{l} \beta_{i} (h_{i} - q_{i}(t)) [\mathcal{I}_{2}^{T} \quad \mathcal{I}_{i+3}^{T}] \mathcal{U} [\mathcal{I}_{2}^{T} \quad \mathcal{I}_{i+3}^{T}]^{T} -$$

$$\operatorname{Sym} \Big\{ \sum_{i=1}^{l} \beta_{i} (\mathcal{I}_{1} - \mathcal{I}_{i+3})^{T} \mathcal{U}_{12} \mathcal{I}_{i+3} \Big\} - \sum_{i=1}^{l} \beta_{i} q_{i}(t) \mathcal{I}_{i+3}^{T} \mathcal{U}_{22} \mathcal{I}_{i+3} +$$

$$\sigma \sum_{i=1}^{l} \beta_{i} q_{i}(t) [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{2}^{T} \quad \cdots \quad \mathcal{I}_{i+4}^{T}] \mathbf{Y} \mathcal{U}_{11}^{-1} \mathbf{Y}^{T} [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{2}^{T} \quad \cdots \quad \mathcal{I}_{i+4}^{T}]^{T} +$$

$$\operatorname{Sym} \Big\{ \sum_{i=1}^{l} \beta_{i} [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{2}^{T} \quad \cdots \quad \mathcal{I}_{i+4}^{T}] \mathbf{Y} (\mathcal{I}_{1} - \mathcal{I}_{i+3}) \Big\} -$$

$$\sum_{i=1}^{l} \beta_{i} [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{1}^{T} - \mathcal{I}_{i+3}^{T}] \mathbf{H} [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{1}^{T} - \mathcal{I}_{i+3}^{T}]^{T} +$$

$$\operatorname{Sym} \Big\{ \sum_{i=1}^{l} \beta_{i} (h_{i} - q_{i}(t)) [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{1}^{T} - \mathcal{I}_{i+3}^{T}] \mathbf{H} [\mathcal{I}_{2}^{T} \quad \mathcal{I}_{2}^{T}]^{T} \Big\} +$$

$$\operatorname{Sym} \Big\{ [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{3}^{T}] \Bigg[ \frac{\Theta K^{-} K^{+} \quad - \Theta \frac{K^{-} + K^{+}}{2}}{\Theta} \Big] [\mathcal{I}_{1}^{T} \quad \mathcal{I}_{3}^{T}]^{T} \Big\} +$$

$$\operatorname{Sym} \Big\{ (v\mathcal{I}_{1} + \mathcal{I}_{2})^{T} F (-\mathcal{I}_{2} - A \mathcal{I}_{1} + B \mathcal{I}_{3} + C \mathcal{I}_{i+4}) \Big\} -$$

$$\operatorname{Sym} \Big\{ \sum_{i=1}^{l} \beta_{i} (v\mathcal{I}_{1} + \mathcal{I}_{2})^{T} F D \mathcal{I}_{i+3} \Big\}.$$

并且控制器的增益矩阵可由下列公式计算:

$$K = F^{-1}\widetilde{F}$$
.

证明 对误差系统(8), 考虑下列 Lyapunov 泛函:

$$V(t) = \sum_{k=1}^{4} V_k(t), \qquad t_k \le t < t_{k+1}, \tag{10}$$

其中

$$\begin{split} &V_{1}(t) = \mathbf{e}^{\mathrm{T}}(t)\mathbf{P}\mathbf{e}(t) + \int_{t-\tau(t)}^{t} \mathbf{g}^{\mathrm{T}}(\mathbf{e}(s))\mathbf{Q}\mathbf{g}(\mathbf{e}(s))\,\mathrm{d}s,\\ &V_{2}(t) = 2\sum_{i=1}^{n} \delta_{i} \int_{0}^{e_{i}(t)} (g_{i}(s) - k_{i}^{-}s)\,\mathrm{d}s + 2\sum_{i=1}^{n} \lambda_{i} \int_{0}^{e_{i}(t)} (k_{i}^{+}s - g_{i}(s))\,\mathrm{d}s,\\ &V_{3}(t) = \sum_{i=1}^{l} \beta_{i}(t)\,(h_{i} - q_{i}(t)) \int_{t-q_{i}(t)}^{t} \boldsymbol{\xi}_{1i}^{\mathrm{T}}(s)\,\boldsymbol{U}\boldsymbol{\xi}_{1i}(s)\,\mathrm{d}s,\\ &V_{4}(t) = \sum_{i=1}^{l} \beta_{i}(t)\,(h_{i} - q_{i}(t))\,(\boldsymbol{\xi}_{2i}^{\mathrm{T}}(t)\,\boldsymbol{H}\boldsymbol{\xi}_{2i}(t) - \mathbf{e}^{\mathrm{T}}(t - q_{i}(t))\,\boldsymbol{H}_{11}\mathbf{e}(t - q_{i}(t)))\,,\\ &\boldsymbol{\xi}_{1i}(t) = \left[\dot{\boldsymbol{e}}^{\mathrm{T}}(t) \quad \boldsymbol{e}^{\mathrm{T}}(t - q_{i}(t))\right]^{\mathrm{T}},\,\boldsymbol{\xi}_{2i}(t) = \left[\boldsymbol{e}^{\mathrm{T}}(t) \quad \boldsymbol{e}^{\mathrm{T}}(t) - \boldsymbol{e}^{\mathrm{T}}(t - q_{i}(t))\right]^{\mathrm{T}}. \end{split}$$

定义  $V(e_i)$  的无穷小算子 L:

$$LV(\boldsymbol{e}_{t}) = \lim_{h \to 0^{+}} \frac{1}{h} \left\{ E \left\{ V(\boldsymbol{e}_{t+h}) \mid \boldsymbol{e}_{t} \right\} - V(\boldsymbol{e}_{t}) \right\}.$$

因此,有

$$E\{LV(t)\} = \sum_{k=1}^{4} E\{LV_k(t)\}, \qquad (11)$$

其中,  $E\{LV_k(t)\}$ , k = 1,2,3,4 计算如下:

$$E\{LV_1(t)\} \leq E\{2\mathbf{e}^{\mathsf{T}}(t)\mathbf{P}\dot{\mathbf{e}}(t) + \mathbf{g}^{\mathsf{T}}(\mathbf{e}(t))\mathbf{Q}\mathbf{g}(\mathbf{e}(t)) - (1-\mu)\mathbf{g}^{\mathsf{T}}(\mathbf{e}(t-\tau(t)))\mathbf{Q}\mathbf{g}(\mathbf{e}(t-\tau(t)))\},$$
(12)

$$E\{LV_2(t)\} = E\{2\sum_{i=1}^{m} \delta_i(g_i(e_i(t)) - k_i^- e_i(t))\dot{e}_i(t) +$$

$$2\sum_{i=1}^{m} \lambda_{i}(k_{i}^{+}e_{i}(t) - g_{i}(e_{i}(t)))\dot{e}_{i}(t) =$$

$$E\left\{2\left(\mathbf{g}(\mathbf{e}(t)) - \mathbf{K}^{\mathsf{T}}\mathbf{e}(t)\right)^{\mathsf{T}}\boldsymbol{\Delta}\dot{\mathbf{e}}(t) + 2\left(\mathbf{K}^{\mathsf{T}}\mathbf{e}(t) - \mathbf{g}(\mathbf{e}(t))\right)^{\mathsf{T}}\boldsymbol{\Lambda}\dot{\mathbf{e}}(t)\right\},\tag{13}$$

$$E\{LV_3(t)\} = E\{\sum_{i=1}^{l} \beta_i (h_i - q_i(t)) \zeta_{1i}^{T}(t) U \zeta_{1i}(t) - q_i(t)\}$$

$$\sum_{i=1}^{l} \boldsymbol{\beta}_{i} \int_{t-q_{i}(t)}^{t} \boldsymbol{\zeta}_{1i}^{\mathrm{T}}(s) \boldsymbol{U} \boldsymbol{\zeta}_{1i}(s) \, \mathrm{d}s \, \bigg\} =$$

$$E\left\{\sum_{i=1}^{l}\boldsymbol{\beta}_{i}(h_{i}-q_{i}(t))\boldsymbol{\zeta}_{1i}^{\mathrm{T}}(t)\boldsymbol{U}\boldsymbol{\zeta}_{1i}(t)-\sum_{i=1}^{l}\boldsymbol{\beta}_{i}\int_{t-q_{i}(t)}^{t}\dot{\boldsymbol{e}}^{\mathrm{T}}(s)\boldsymbol{U}_{11}\dot{\boldsymbol{e}}(s)\,\mathrm{d}s-\right.$$

$$2\sum_{i=1}^{l} \boldsymbol{\beta}_{i} \int_{t-q_{i}(t)}^{t} \dot{\boldsymbol{e}}^{\mathrm{T}}(s) \, \mathrm{d}s \boldsymbol{U}_{12} \boldsymbol{e}(t-q_{i}(t)) -$$

$$\sum_{i=1}^{l} \boldsymbol{\beta}_{i} q_{i}(t) \boldsymbol{e}^{\mathrm{T}}(t - q_{i}(t)) \boldsymbol{U}_{22} \boldsymbol{e}(t - q_{i}(t)) \right\}, \tag{14}$$

$$E\{LV_4(t)\} = E\{2\sum_{i=1}^{l} \beta_i(h_i - q_i(t))\zeta_{2i}^{T}(t)H\dot{\zeta}_{2i}(t) -$$

$$\sum_{i=1}^{l} \boldsymbol{\beta}_{i} \left[ \boldsymbol{\zeta}_{2i}^{\mathrm{T}}(t) \boldsymbol{H} \boldsymbol{\zeta}_{2i}(t) - \boldsymbol{e}^{\mathrm{T}}(t - q_{i}(t)) \boldsymbol{H}_{11} \boldsymbol{e}(t - q_{i}(t)) \right] \right\}. \tag{15}$$

对任意适维矩阵 Y, 由引理 1 可得

$$-\sum_{i=1}^{l} \boldsymbol{\beta}_{i} \int_{t-q_{i}(t)}^{t} \dot{\boldsymbol{e}}^{T}(s) \boldsymbol{U}_{11} \dot{\boldsymbol{e}}(s) ds \leq \sum_{i=1}^{l} \boldsymbol{\beta}_{i} q_{i}(t) \boldsymbol{\eta}^{T}(t) \boldsymbol{Y} \boldsymbol{U}_{11}^{-1} \boldsymbol{Y}^{T} \boldsymbol{\eta}(t) + 2\sum_{i=1}^{l} \boldsymbol{\beta}_{i} \boldsymbol{\eta}^{T}(t) \boldsymbol{Y}(\boldsymbol{e}(t) - \boldsymbol{e}(t - q_{i}(t))) . \tag{16}$$

对任意正定对角阵  $\Theta \in \mathbb{R}^{n \times n}$ , 由式(5)可得

$$E\left\{-2\begin{bmatrix}\boldsymbol{e}(t)\\\boldsymbol{g}(\boldsymbol{e}(t))\end{bmatrix}^{\mathrm{T}}\begin{bmatrix}\boldsymbol{\Theta}\boldsymbol{K}^{-}\boldsymbol{K}^{+} & -\boldsymbol{\Theta}\frac{\boldsymbol{K}^{-}+\boldsymbol{K}^{+}}{2}\\ * & \boldsymbol{\Theta}\end{bmatrix}\begin{bmatrix}\boldsymbol{e}(t)\\\boldsymbol{g}(\boldsymbol{e}(t))\end{bmatrix}\right\} \geqslant 0.$$
(17)

此外,基于误差系统(8),对任意适维矩阵F有下列等式成立:

$$0 = E \left\{ 2(\boldsymbol{v}\boldsymbol{e}^{\mathrm{T}}(t) + \dot{\boldsymbol{e}}^{\mathrm{T}}(t)) \boldsymbol{F}[-\dot{\boldsymbol{e}}(t) - \boldsymbol{A}\boldsymbol{e}(t) + \boldsymbol{B}\boldsymbol{g}(\boldsymbol{e}(t)) + \right.$$

$$Cg(e(t-\tau(t))) - \sum_{i=1}^{l} \beta_i KDe(t-q_i(t))]$$
,

此式等价于

$$0 = E\left\{2(\boldsymbol{v}\boldsymbol{e}^{T}(t) + \dot{\boldsymbol{e}}^{T}(t))\boldsymbol{F}[-\dot{\boldsymbol{e}}(t) - \boldsymbol{A}\boldsymbol{e}(t) + \boldsymbol{B}\boldsymbol{g}(\boldsymbol{e}(t)) + \boldsymbol{C}\boldsymbol{g}(\boldsymbol{e}(t-\tau(t)))]\right\} - E\left\{2\sum_{i=1}^{l}\beta_{i}(\boldsymbol{v}\boldsymbol{e}^{T}(t) + \dot{\boldsymbol{e}}^{T}(t))\tilde{\boldsymbol{F}}\boldsymbol{D}\boldsymbol{e}(t-q_{i}(t))\right\},$$
(18)

其中  $\tilde{F} = FK$ .

联合式(12)~(18)可得

$$E\{LV(t)\} \leq E\{\boldsymbol{\eta}^{\mathrm{T}}(t)\boldsymbol{\Pi}(1;q_1(t),q_2(t),\cdots,q_l(t))\boldsymbol{\eta}(t)\},\,$$

$$t_k \le t < t_{k+1} . \tag{19}$$

因此, 由条件(9)可得

$$E\{LV(t)\} \le 0, t_k \le t < t_{k+1},$$
 (20)

且存在充分小的常数  $\kappa > 0$ , 使得

$$E\{LV(t)\} \le -\kappa E\{\|e(t)\|^2\}, \qquad t_k \le t < t_{k+1}.$$
 (21)

另一方面, 由随机变量 $\beta_i(t)$  定义, 可得

$$V_3(t) = (t_{k+1} - t) \int_t^t \boldsymbol{\zeta}_1^{\mathrm{T}}(s) \boldsymbol{U} \boldsymbol{\zeta}_1(s) \, \mathrm{d}s,$$

$$V_4(t) = (t_{k+1} - t) (\boldsymbol{\zeta}_2^{\mathrm{T}}(t) \boldsymbol{H} \boldsymbol{\zeta}_2(t) - \boldsymbol{e}^{\mathrm{T}}(t_k) \boldsymbol{H}_{11} \boldsymbol{e}(t_k)),$$

其中

$$\boldsymbol{\zeta}_{1}(t) = \begin{bmatrix} \dot{\boldsymbol{e}}^{\mathrm{T}}(t) & \boldsymbol{e}^{\mathrm{T}}(t_{k}) \end{bmatrix}^{\mathrm{T}}, \boldsymbol{\zeta}_{2}(t) = \begin{bmatrix} \boldsymbol{e}^{\mathrm{T}}(t) & \boldsymbol{e}^{\mathrm{T}}(t) - \boldsymbol{e}^{\mathrm{T}}(t_{k}) \end{bmatrix}^{\mathrm{T}}.$$

于是有

$$\lim_{t \to t_k^-} V_3(t) = \lim_{t \to t_k^+} V_3(t) = V_3(t_k) = 0, \tag{22}$$

$$\lim_{t \to t_k^-} V_4(t) = \lim_{t \to t_k^+} V_4(t) = V_4(t_k) = 0.$$
(23)

与此同时, 根据定理条件可知 
$$V_i(t_k) \ge 0$$
,  $i = 1, 2$ .因此,  $V(t)$  满足

力此问的,似酒是这家厅的加
$$V_i(t_k) \ge 0$$
, $t = 1, 2$ 。因此, $V(t)$  俩是

$$V(t_k) = V_1(t_k) + V_2(t_k) \ge 0, \qquad k = 1, 2, \cdots.$$
 (24)

应用 Dynkin 公式于式(20), 根据式(22)和(23)有

$$E\{V(t)\} = E\{\int_{t_k}^{t} LV(s) ds\} + E\{V(t_k)\} \le E\{V(t_k)\},$$
(25)

$$E\{V(t)\} = E\{V(t_{k+1}) - \int_{t}^{t_{k+1}} LV(s) ds\} \ge E\{V(t_{k+1})\},$$
(26)

 $E\{V(t_{k+1})\} =$ 

$$E\left\{\int_{t_{k}}^{t_{k+1}} LV(s) \, \mathrm{d}s\right\} + E\left\{V(t_{k})\right\} \leq E\left\{V(t_{k})\right\} \leq \dots \leq E\left\{V(0)\right\}. \tag{27}$$

由式(24)~(27)可推得

$$E\{V(0)\} \ge E\{V(t)\} \ge 0.$$
 (28)

由式(21)和(28)可得

$$E\left\{\int_0^t \|\boldsymbol{e}(s)\|^2 ds\right\} \leqslant -\frac{1}{\kappa} E\left\{\int_0^t LV(s) ds\right\} =$$

$$\frac{1}{\kappa} E\left\{V(0) - V(t)\right\} \leqslant \frac{1}{\kappa} E\left\{V(0)\right\} < \infty.$$

根据定义1,由上式可知,误差系统(8)是随机稳定的。证毕。

注 2 注意到定理 1 中项  $\sum_{i=1}^{l} \beta_{i}q_{i}(t)$  [  $\mathbf{\mathcal{I}}_{1}^{\Gamma}$   $\mathbf{\mathcal{I}}_{2}^{\Gamma}$  ···  $\mathbf{\mathcal{I}}_{l+4}^{\Gamma}$ ]  $\mathbf{\mathcal{Y}}^{\Gamma}$ [  $\mathbf{\mathcal{I}}_{1}^{\Gamma}$   $\mathbf{\mathcal{I}}_{2}^{\Gamma}$  ···  $\mathbf{\mathcal{I}}_{l+4}^{\Gamma}$ ]  $\mathbf{\mathcal{I}}^{\Gamma}$   $\mathbf{\mathcal{I}}_{2}^{\Gamma}$  ···  $\mathbf{\mathcal{I}}_{l+4}^{\Gamma}$ ]  $\mathbf{\mathcal{I}}^{\Gamma}$  是非线性的,这导致不等式(9) 不是线性矩阵不等式。因此,控制器不能直接通过软件 MATLAB 的 LMI 工具箱求解。但根据 Schur 补引理[30],不等式(9)能转化为线性矩阵不等式。不失一般性,在定理 1 中设 l=2,应用 Schur 补引理于式(9),可得如下推论。

推论 1 在条件(5)下,对给定的常数  $\mu$  ,  $\beta_i$  ,  $h_i(i=1,2)$  和 v , 误差系统(8) 是随机稳定的,如果对正定矩阵 P , Q ,  $U = \begin{bmatrix} U_{11} & U_{12} \\ * & U_{22} \end{bmatrix}$  , 对称矩阵  $H = \begin{bmatrix} H_{11} & H_{12} \\ * & H_{22} \end{bmatrix}$  , 正定对角阵  $\boldsymbol{\Theta}$  ,  $\boldsymbol{\Delta} = \operatorname{diag} \left\{ \delta_1, \delta_2, \cdots, \delta_n \right\}$  ,  $\boldsymbol{\Lambda} = \operatorname{diag} \left\{ \lambda_1, \lambda_2, \cdots, \lambda_n \right\}$  , 和任意适维矩阵  $\boldsymbol{Y}$  ,  $\boldsymbol{F}$  , 使得下列不等式成立:

$$\boldsymbol{\varPi}(0;0,0) < \boldsymbol{0}, \tag{29}$$

$$\begin{bmatrix} \boldsymbol{H}(0;h_1,0) & [\boldsymbol{\mathcal{I}}_1^{\mathrm{T}} & \boldsymbol{\mathcal{I}}_2^{\mathrm{T}} & \cdots & \boldsymbol{\mathcal{I}}_6^{\mathrm{T}}] \boldsymbol{Y} \\ * & \frac{1}{h_1} \boldsymbol{U}_{11} \end{bmatrix} < \boldsymbol{0}, \tag{30}$$

$$\begin{bmatrix} \boldsymbol{H}(0;0,h_2) & [\boldsymbol{\mathcal{I}}_1^{\mathrm{T}} & \boldsymbol{\mathcal{I}}_2^{\mathrm{T}} & \cdots & \boldsymbol{\mathcal{I}}_6^{\mathrm{T}}]\boldsymbol{Y} \\ * & \frac{1}{h_2}\boldsymbol{U}_{11} \end{bmatrix} < \boldsymbol{0}, \tag{31}$$

$$\begin{bmatrix} \boldsymbol{H}(0; h_1, h_2) & [\boldsymbol{\mathcal{I}}_1^{\text{T}} & \boldsymbol{\mathcal{I}}_2^{\text{T}} & \cdots & \boldsymbol{\mathcal{I}}_6^{\text{T}}] \boldsymbol{Y} & [\boldsymbol{\mathcal{I}}_1^{\text{T}} & \boldsymbol{\mathcal{I}}_2^{\text{T}} & \cdots & \boldsymbol{\mathcal{I}}_6^{\text{T}}] \boldsymbol{Y} \\ * & \frac{1}{h_1} \boldsymbol{U}_{11} & & \boldsymbol{0} \\ * & * & \frac{1}{h_2} \boldsymbol{U}_{11} \end{bmatrix} < \boldsymbol{0}, \tag{32}$$

其中, $\mathbf{\Pi}(\sigma;q_1(t),q_2(t))$ 与定理 1 中定义相同。并且可得如下控制器增益矩阵:

$$\boldsymbol{K} = \boldsymbol{F}^{-1} \widetilde{\boldsymbol{F}}$$
.

注3 注意在本文中  $h = \sum_{i=1}^{l} \beta_i(t) h_i$  和  $q(t) = \sum_{i=1}^{l} \beta_i(t) q_i(t)$ ,这种统一的概率结构使得两个新的

 $(t_k, t_{k+1})$  依赖的锯齿结构项  $V_3(t)$  和  $V_4(t)$  被引入到 Lyapunov 泛函(10) 中。与文献[5,22-23] 的方法相比较,本文的方法能够更充分利用抽样信息,从而使得状态估计标准的保守性更低。

**注4** 在文献[5]中,为保证其构造的 Lyapunov 泛函的正定性,要求 Lyapunov 泛函中的矩阵变量都是正定的.而在定理 1 中, Lyapunov 泛函公式(10)并不要求其每一个变量矩阵是正定的.因此,与文献[5]方法相比较,本文方法得到的结果条件更松弛.

#### 3 数值仿真算例

例 1 考虑闭环系统(8), 其参数被给如下[5]:

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, B = \begin{bmatrix} 0.6 & -0.7 \\ 0.5 & 0.4 \end{bmatrix}, C = \begin{bmatrix} 0.3 & 0.4 \\ 0.2 & -0.5 \end{bmatrix}, D = \begin{bmatrix} 1 \\ 1 \end{bmatrix}^{T},$$

$$J = \begin{bmatrix} 2\sin(\pi t) + 0.03t^{2} \\ 3\cos(5t) + 0.005t^{2} \end{bmatrix},$$

$$f_{i}(x_{i}(t)) = \frac{1}{5}(||x_{i}(t)| + 1||-||x_{i}(t)| - 1||), i = 1,2,$$

$$\tau(t) = 0.92\sin t + 1.$$

由上式易知,  $K^-$  = diag { - 0.4, -0.4},  $K^+$  = diag { 0.4,0.4},  $\mu$  = 0.92.

不失一般性,假设随机抽样区间 h 服从两点分布,即 $P\{h=h_1\}=\beta$ ,  $P\{h=h_2\}=1-\beta$ . 基于推论 1,表 1 和表 2 给出了在不同情形下本文与文献[5]结果的比较。从表中不难发现,在两种情形下,本文得到的  $h_2$  最大值都比文献[5]要大。众所周知,在抽样控制中,更大的抽样区间导致更低的控制成本。因此,本文的方法比文献[5]的方法更有优势,这也验证了注 3 和注 4 的论述。

表 1  $h_2$  最大值在不同  $h_1$  取值下的比较 ( $\beta = 0.6$ )

Table 1 Comparison of the maximum values of  $h_2$  for different values of  $h_1(\beta = 0.6)$ 

$h_1$	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9
ref. [5]	2.31	2.20	2.11	2.03	1.95	1.85	1.71	1.50	-
inference 1	3.96	3.80	3.65	3.50	3.36	3.23	3.10	2.99	2.88

表 2  $h_2$  最大值在不同 $\beta$  取值下 的比较 $(h_1 = 0.5)$ 

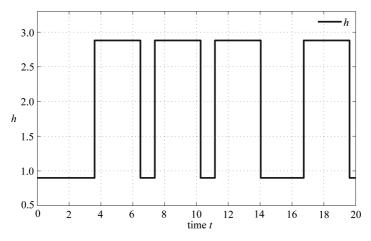
Table 2 Comparison of the maximum values of  $h_2$  for different values of  $\beta(h_1 = 0.5)$ 

β	0.9	0.8	0.7	0.6	0.5	0.4	0.3	0.2	0.1
ref. [5]	10.21	3.91	2.47	1.95	1.67	1.49	1.36	1.26	1.18
inference 1	18.53	6.46	4.29	3.36	2.89	2.66	2.59	2.63	2.76

取  $h_1 = 0.9$ ,  $h_2 = 2.88$ ,  $\beta = 0.6$ , v = 1, 初值 x(0) = (10, -7), y(0) = (-10, 12).用 MAT-LAB 软件的 LMI 工具箱求解推论 1 中线性矩阵不等式,得到下列控制增益矩阵:

$$\boldsymbol{K} = \begin{bmatrix} 0.197 & 9 \\ 0.214 & 0 \end{bmatrix}.$$

图 1 给出了随机抽样区间 h 的时间响应取值图;图 2 给出了在上述控制增益条件下状态变量 x(t) 与其估计量 y(t) 的时间响应轨迹;图 3 给出了随机抽样控制 u(t) 的输入轨迹.从图 2 可以发现,估计量 y(t) 的轨迹最后与神经元状态变量 x(t) 的轨迹重合。这验证了本文方法的有效性.



**图 1** 随机抽样区间  $h(\beta = 0.6)$ 

Fig. 1 The stochastic sampling interval h for  $\beta = 0.6$ 

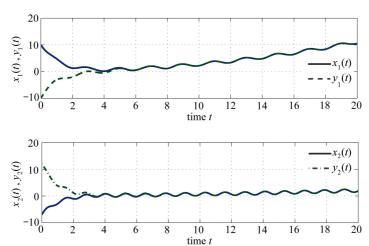


图 2 在控制输入 u(t) 下的 x(t) 和其估计量 y(t) 的时间响应轨迹 Fig. 2 State trajectories of x(t) and its estimate y(t) with u(t)

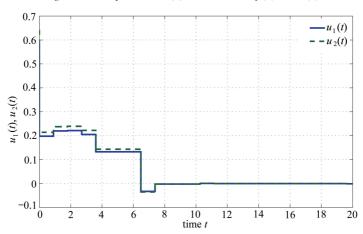


图3 控制输入u(t)的轨迹

Fig. 3 The trajectories of control input u(t)

#### 4 结 论

本文研究了时滞神经网络随机抽样控制的状态估计问题。一种改进的随机抽样处理方法被提出。根据该方法,构造了一个新的Lyapunov泛函。基于这个Lyapunov泛函和运用一些不等式放缩技术,得到了状态估计的新标准,并设计出了合适的状态估计器。和存在的结果相比较,本文所得的结果保守性更低。数值仿真算例验证了所得结果的优势和有效性。

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# State Estimation for Delayed Neural Networks With Stochastic Sampled-Data Control

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**Abstract:** The problem of the state estimation for delayed neural networks with stochastic sampled-data control was studied. First, a unified probability framework involving the stochastic sampling interval and the sampling input delay was proposed. Second, based on this unified probability framework, a new Lyapunov-Krasovskii functional (LKF) with some new terms was constructed. Third, with this LKF and some inequality technologies, a less conservative criterion was established, which can ensure the stochastic stability of the error system. The desired state estimator was designed. Finally, numerical simulation results show the effectiveness and advantages of the proposed method.

**Key words:** delayed neural network; stochastic sampling; state estimation; Lyapunov-Krasovskii functional

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